

一些新的多重 Rogers-Ramanujan 恒等式*

张祥德 刘学生
(东北大学自控系, 110008) (大连大学工学院, 116012)

摘要 本文利用初等方法简捷地推广了 Paule 的结果, 从而得到了一系列多重 Rogers-Ramanujan 恒等式.

关键词 q-级数, 恒等式/Rogers-Ramanujan 恒等式.

分类号 AMS(1991) 05A/CCL O157. 1

§ 1 序言及主要结果

设 a, q 是实数, $|q| < 1$, 定义 $(a)_n = (a; q)_n = \prod_{i=1}^n (1 - aq^{i-1})$, $(a)_\infty = \prod_{i=1}^\infty (1 - aq^{i-1})$; $\begin{bmatrix} n \\ k \end{bmatrix} = (q)_n / ((q)_k (q)_{n-k})$ 表示 Gauss 二项式系数. 我们有

$$\sum_m \frac{a_m x^m q^{bm^2}}{(q)_{n-lm-r} (xq)_{n+lm}} = \sum_{n \geqslant n_1 \geqslant 0} \frac{q^{(n_1-\frac{r}{2})^2} x^{n_1}}{(q)_{n-n_1}} \sum_m \frac{a_m q^{bm^2 - (lm+\frac{r}{2})^2} x^{(1-l)m-r}}{(q)_{n_1-lm-r} (xq)_{n_1+lm}} \quad (1)$$

l 是正整数, r 是非负整数.

在(1)中取 $l=1, b=r=0$. 限制 m 取非负整数, 有

$$\sum_{m=0}^n \frac{a_m x^m}{(q)_{n-m} (xq)_{n+m}} = \sum_{n_1=0}^n \frac{q^{n_1^2} x^{n_1}}{(q)_{n-n_1}} \sum_{m=0}^{n_1} \frac{a_m q^{-m^2}}{(q)_{n_1-m} (xq)_{n_1+m}} \quad (2)$$

(2) 是文[2]的公式(1), 且是 Bailey 引理的一个重要特例; 但本文公式(1)似乎不能由 Bailey 引理导出, 并且本文给出的证明比文[2]中的证明较简洁初等. 连续应用(1)得到

$$\sum_m \frac{a_m x^m q^{bm^2}}{(q)_{n-lm-r} (xq)_{n+lm}} = \sum_{n \geqslant n_1 \geqslant \dots \geqslant n_k \geqslant 0} \frac{q^{(n_1-\frac{r}{2})^2 + \dots + (n_k-\frac{r}{2})^2} x^{n_1+n_2+\dots+n_k}}{(q)_{n-n_1} (q)_{n_1-n_2} \cdots (q)_{n_{k-1}-n_k}} \sum_m \frac{a_m q^{bm^2 - k(lm+\frac{r}{2})^2} x^{(1-kl)m-kr}}{(q)_{n_k-lm-r} (xq)_{n_k+lm}} \quad (3)$$

应用(3)可将一些 Rogers-Ramanujan 恒等式嵌入到多重形式, 我们有

$$\frac{1}{(q)_\infty} \sum_{m=-\infty}^{+\infty} (1 - q^{6m+1}) q^{(9k+6)m^2 + (3k-1)m} = \sum_{n_1, n_2, \dots, n_k \geqslant 0} \frac{q^{M_1^2 + M_2^2 + \dots + M_k^2 + M_1 + M_2 + \dots + M_k}}{(q)_{n_1} (q)_{n_2} \cdots (q)_{n_{k-1}} (q)_{2n_k}} \quad (4)$$

$$M_i = \sum_{j=i}^k n_j, i = 1, 2, \dots, k$$

* 1993 年 1 月 7 日收到.

$$\frac{1}{(q)_{\infty}} \sum_{m=-\infty}^{+\infty} (1 - q^{6m+1}) q^{(9k+3)m^2 + (3k-2)m} = \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{M_1^2 + M_2^2 + \dots + M_{k-1}^2 + 2M_k^2 + M_1 + M_2 + \dots + M_{k-1}}}{(q)_{n_1}(q)_{n_2} \cdots (q)_{n_{k-1}}(q)_{2n_k}} \quad (5)$$

$$\frac{1}{(q)_{\infty}} \sum_{m=-\infty}^{+\infty} (1 - q^{6m+2}) q^{(9k+6)m^2 + (6k+1)m} = \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{M_1^2 + M_2^2 + \dots + M_k^2 + 2M_1 + 2M_2 + \dots + 2M_k}}{(q)_{n_1}(q)_{n_2} \cdots (q)_{n_{k-1}}(q)_{2n_k}} \quad (6)$$

$$\frac{1}{(q)_{\infty}} \sum_{m=-\infty}^{+\infty} (1 - q^{6m+2}) q^{(9k+3)m^2 + (6k+1)m} = \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{M_1^2 + M_2^2 + \dots + M_{k-1}^2 + 2M_k^2 + 2M_1 + 2M_2 + \dots + 2M_k}}{(q)_{n_1}(q)_{n_2} \cdots (q)_{n_{k-1}}(q^2)_{2n_k}} \quad (7)$$

$$\begin{aligned} & \frac{1}{(q)_{\infty}} \sum_{m=-\infty}^{+\infty} (-1)^m (1 - q^{6m+1}) q^{(9k+9/2)m^2 + 3km - 3^m/2} \\ &= \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{M_1^2 + M_2^2 + \dots + M_k^2 + M_1 + M_2 + \dots + M_k} (q^3; q^3)_{m_k-1}}{(q)_{n_1}(q)_{n_2} \cdots (q)_{n_{k-1}}(q)_{2n_k}(q)_{n_k-1}} \end{aligned} \quad (8)$$

$$\frac{1}{(q)_{\infty}} \sum_{m=-\infty}^{+\infty} (-1)^m (1 - q^{6m+2}) q^{(9k+9/2)m^2 + 6km} = \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{M_1^2 + M_2^2 + \dots + M_k^2 + 2M_1 + 2M_2 + \dots + 2M_k} (q^{3/2}; q^{3/2})_{m_k}}{(q)_{n_1}(q)_{n_2} \cdots (q)_{n_{k-1}}(q^2)_{2n_k}(q^{1/2})_{n_k}} \quad (9)$$

在(5)–(9)中也有 $M_i = \sum_{j=i}^k n_j$, $i = 1, 2, \dots, k$.

§ 2 主要结果的推导

在文[1]公式(2.1) $(tq)^{-1} = \sum_{k=0}^{m-r} \binom{m-r}{k} t^k q^{k(k+r)} / (tq)_{k+r}$, 中先以 $n-lm$ 代替 m , 再以 xq^{2m} 代

t , 并注意到 $(xq)_{2lm} (xq^{2m+1})_{n-lm} = (xq)_{n+lm}$ 和 $(xq)_{2lm} (xq^{2m+1})_{k+r} = (xq)_{2lm+k+r}$ 知

$$\frac{1}{(xq)_{n+lm}} = \sum_{k=0}^{n-lm-r} \frac{(q)_{n-lm-r} q^{k(k+r)+2lmk} x^k}{(q)_k (q)_{n-lm-k-r} (xq)_{2lm+k+r}} \quad (10)$$

从而 $\sum_m \frac{a_m q^{bm^2} x^m}{(q)_{n-lm-r} (xq)_{n+lm}} = \sum_m \frac{a_m q^{bm^2} x^m}{(q)_k (q)_{n-lm-r}} \sum_{k=0}^{n-lm-r} \frac{x^k q^{k(k+r)+2lmk} (q)_{n-lm-r}}{(q)_k (q)_{n-lm-k-r} (xq)_{2lm+k+r}}$.

取 $n_1 = lm + k + r$, 交换求和顺序, 整理得

$$\sum_m \frac{a_m q^{bm^2} x^m}{(q)_{n-lm-r} (xq)_{n+lm}} = \sum_{n \geq n_1 \geq 0} q^{\frac{(n_1-r)^2}{2}} x^{n_1} \sum_m \frac{a_m q^{bm^2 - (lm+\frac{r}{2})^2} x^{(1-l)m-r}}{(xq)_{n_1+lm} (q)_{n_1-lm-r}}$$

故(1)得证.

在(3)中取 $l=3, r=0, x=q, a_m = \frac{1}{1-q} q^{(3k-2)m} (1 - q^{6m+1})$, $b=9k+6$, 有

$$\begin{aligned} \sum_m \frac{(1 - q^{6m+1}) q^{(9k+6)m^2 + (3k-1)m}}{(q)_{n-3m} (q)_{n+3m+1}} &= \sum_{n \geq n_1 \geq \dots \geq n_k \geq 0} \frac{q^{n_1^2 + n_2^2 + \dots + n_k^2 + n_1 + n_2 + \dots + n_k}}{(q)_{n-n_1} (q)_{n_1-n_2} \cdots (q)_{n_{k-1}-n_k}} \\ &\cdot \sum_m \frac{(1 - q^{6m+1}) q^{6m^2-m}}{(q)_{n-3m} (q)_{n+3m+1}} \end{aligned} \quad (11)$$

利用文[3]中的公式(3.3)知(11)式等于 $\sum_{n \geq n_1 \geq \dots \geq n_k \geq 0} \frac{q^{n_1^2 + n_2^2 + \dots + n_k^2 + n_1 + n_2 + \dots + n_k}}{(q)_{n-n_1} (q)_{n_1-n_2} \cdots (q)_{n_{k-1}-n_k} (q)_{2n_k}}$.

在(11)式两边令 $n \rightarrow +\infty$ 知

$$\frac{1}{(q)_\infty} \sum_{m=-\infty}^{+\infty} (1 - q^{6m+1}) q^{(9k+6)m^2 + (3k-1)m} = \sum_{\infty \geq n_1 \geq \cdots \geq n_k \geq 0} \frac{q^{n_1^2 + n_2^2 + \cdots + n_k^2 + n_1 + n_2 + \cdots + n_k}}{(q)_{n_1} (q)_{n_2} \cdots (q)_{n_{k-1}} (q)_{n_k}} \quad (12)$$

在(12)式右端以 n'_i 代 $n_i - n_{i+1}$, $i \leq k-1$, n'_k 代 n_k , 知 $n_i = \sum_{j=i}^k n'_j$, $1 \leq i \leq k$, 故(12)式右端成为

$$\sum_{n'_1, n'_2, \dots, n'_k \geq 0} \frac{q^{n_1^2 + n_2^2 + \cdots + n_k^2 + n_1 + n_2 + \cdots + n_k}}{(q)_{n'_1} (q)_{n'_2} \cdots (q)_{n'_{k-1}} (q)_{n'_k}}.$$

再证 M_i 代 n_i , n_i 代 n'_i , $1 \leq i \leq k$, 则有

$$\sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{M_1^2 + M_2^2 + \cdots + M_k^2 + M_1 + M_2 + \cdots + M_k}}{(q)_{n_1} (q)_{n_2} \cdots (q)_{n_{k-1}} (q)_{n_k}}, \quad M_i = \sum_{j=i}^k n_j$$

从而(4)式得证.

类似地利用文[3]中的公式(3.4), (3.6), (3.7), (3.9), (3.10)和(3), 可以证明(5)–(9).

参 考 文 献

- [1] 初文昌, 格路计数与经典分拆恒等式, 系统科学与数学, 12(1)(1992), 52–57.
- [2] P. Paule, *A note on Bailey's lemma*, J. Combinatorial Theory, Series A, 44(1987), 164–167.
- [3] L. J. Slater, *A new proof of Rogers' transformation of infinite series*, Proc. London. Math. Soc., 53(2) (1951), 460–475.

Some New Multiple Rogers-Ramanujan Identities

Zhang Xiangde

(Dalian University of Technology, 116023)

Liu Xuesheng

(Dept. of Basic Courses, Dalian Univ.)

Abstract

Paule's result is extend. As a consequence some new multiple Rogers-Ramanujan identities are given.

Keywords q-series, identity, Rogers-Ramanujan identity.